

jc868 U.S. PTO  
10/26/01

**THE UNIVERSITY OF CHICAGO**

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*(Only for new nonprovisional applications under 37 CFR 1.53(b))*

*See MPEP chapter 600 concerning utility patent application contents.*

1. ☒ Fee Transmittal Form (e.g., PTO/SB/17)  
(Submit an original and a duplicate for fee prepayment)
2. ☒ Applicant claims small entity status.  
See 37 CFR 1.27.
3. ☒ Specification [Total Pages  ]  
(preferred arrangement set forth below)
- Descriptive title of the invention
  - Cross Reference to Related Applications
  - Statement Regarding Fed sponsored R & D
  - Reference to sequence listing, a table, or a computer program listing appendix
  - Background of the invention
  - Brief Summary of the Invention
  - Brief Description of the Drawings (if filed)
  - Detailed Description
  - Claim(s)
  - Abstract of the Disclosure
4. ☒ Drawing(s) (35 U.S.C. 113) [ Total Sheets  ]
5. Oath or Declaration [ Total Pages  ]
- a. ☒ Newly executed (original or copy)
- b. ☐ Copy from a prior application (37 CFR 1.63 (d))  
(for continuation/divisional with Box 18 completed)
- i. ☐ DELETION OF INVENTOR(S)  
Signed statement attached deleting inventor(s)  
named in the prior application, see 37 CFR  
1.63(d)(2) and 1.33(b).
6. ☐ Application Data Sheet, See 37 CFR 1.76

7. ☐ CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
8. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
- a. ☐ Computer Readable Form (CRF)
- b. Specification Sequence Listing on:
- i. ☐ CD-ROM or CD-R (2 copies); or
- ii. ☒ paper
- c. ☐ Statements verifying identity of above copies

9. ☐ Assignment Papers (cover sheet & document(s))  
37 CFR 3.73(b) Statement ☐ Power of Attorney  
(when there is an assignee)  
11. ☐ English Translation Document (if applicable)  
12. ☐ Information Disclosure Statement (IDS)/PTO-1448 ☐ Copies of IDS Citations  
13. ☒ Preliminary Amendment  
14. ☒ Return Receipt Postcard (MPEP 503)  
(Should be specifically itemized)  
15. ☐ Certified Copy of Priority Document(s)  
(if foreign priority is claimed)  
16. ☐ Nonpublication Request under 35 U.S.C. 122  
(b)(2)(B)(i). Applicant must attach form PTO/SB/35  
or its equivalent.  
17. ☒ Other: Letter re-transfer of sequence data from parent

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

☒ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No.: 09, 304, 121

*Prior application information:*


**Examiner**

Ulrike Winkler

Group Art Unit: 1648

**For CONTINUATION OR DIVISIONAL APPS only:** The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

**19. CORRESPONDENCE ADDRESS**

☐ *Customer Number or Bar Code Label*  or ☒ *Correspondence address below*

Name	Richard Voellmy				
	Dept of Biochem. & Mol. Biol , University of Miami <i>School of Medicine</i>				
Address	1011 N.W. 15th St.				
City	Miami	State	FL	Zip Code	33136
Country	U.S.A.	Telephone	(305) 243-5815	Fax	

Name (Print/Type)	Richard Voellmy	Registration No. (Attorney/Agent)	40,859
Signature	<i>Richard Voellmy</i>	Date	10/26/01

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.

J1046 U.S. PTO  
10/046420

PATENT

Date \_\_\_\_\_

Patent Application No. \_\_\_\_\_

Received in the U.S.P.T.O. on the date stamped hereon via:

First Class Mail

Atty Dkt. #: \_\_\_\_\_ Applicant: Voellmy, R.

Title MOLECULAR REGULATORY CIRCUITS TO ACHIEVE SUSTAINED ACTIVATION  
OF GENES OF INTEREST BY A SINGLE STRESS

VERIFIED BY: Asst: \_\_\_\_\_ QC: \_\_\_\_\_

Transmittal (in duplicate)

Specification in 37 pages plus 5 figures

Initial signed declaration of inventor

Credit card payment form

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